Patent

Attorney Docket No. 52433/816



## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Docket Number: **52433/816** 

Application Number PCT/JP2004/003341 10/550,079

Filing Date March 12, 2004 September 19, 2005

Examiner Unassigned

Art Unit Unassigned

Invention Title

ROLLING METHOD AND ROLLING APPARATUS FOR FLAT-ROLLED METAL MATERIALS

Inventors Ogawa et al.

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandra, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Amendment

Commissioner for Patents, P.O. Box 1450, Alexandria, VA

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22313-1450 on

Date: MAY 10, 2006

Signature: John J. Kelly, V

Sir:

- 1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
- 2. In accordance with the Official Gazette of August 5, 2003, which stated that the United States Patent and Trademark Office waived the requirement under 37 C.F.R. § 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, copies of each of the cited U.S. patents and U.S. patent application publications are not being provided.
- 3. Copies of patents, publications or other information listed on the modified PTO Form 1449 are enclosed, unless indicated otherwise.

- 4. Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office (European) in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- 5. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due the Commissioner is authorized to charge deposit account number 11-0600 for such fees.

Respectfully submitted,

Date:

May 10, 2006

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PTO/SB/08a (08-03)

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TADEMA SUBSTITUTE for form 1449A/PTO SECOND SUPPLEMENTAL INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Complete if Known 10/550,079 Application Number September 19, 2005 Filing Date First Named Inventor Ogawa et al. Art Unit Not Yet Assigned Examiner Name Not Yet Assigned 52433/816 Attorney Docket Number

(Use as many sheets as necessary) Sheet 1 of 1

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U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	or Cited Document	Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document			Pages,	
Examin er Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>
		JP 2000158026	June 13, 2000	Yutaka		
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		DE 3537153 A1	May 14, 1987	Kettler		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
		Shiroo Suzuki, et.al., Strip Shape Control System of Mitsubishi CR Mill, Industry Applications Conference, Phoenix, AZ, USA, 3 October 1999, Vol. 1, Pages 564-570	

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.